From Problems to Programs via Plans: The Content and Structure of Knowledge for Introductory LISP Programming*

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Abstract

When a programming language is taught, typically the the semantics of the language are emphasized, and little and emphasis is placed on the <u>relationship</u> of <u>problems</u> to each to the constructs of the language. We, however, report on an organization of information for teaching LISP, which puts primary emphasis on the structure of and relationships between: intermediate abstraction, problem, a program, and, an an analysis of the underlying This organization is based on structure of ostensibly different problems, and their program solutions. We present qualitative observations on the use of this organization gleaned from actual classroom teaching. Finally, snorwlate on the utility of this augment with respect to other programming languages.

1. Introduction

Those doing research into how people actually understand various topics, have observed that traditional sources of information, e.g., textbooks, do not usually convey knowledge which is key to a correct understanding of that subject matter. For example, Rissland [9] discusses a new structure for mathematical knowledge which emphasizes new categories of information, e.g., types of examples (stort-up, reference, etc.), types of concepts (basic definitional, cu)minating, etc.). Brown, Collins, and Harris [2] use the term "deep structure" — in contrast to "surface structure" — to emphasize the importance of the underlying goals, plans, purposes of entities in a subject domain. From this perspective, they go on to provide an analysis of 3 subject domains (stories, electronic circuits, arithmetic).

In this paper, we describe work done in this vein with respect to the knowledge underlying a sound understanding of introductory LISP programming. Typically, when a programming language is tuaght, the syntax and semantics of constructs in the language are emphasized; besides a perfunctory one-line description. Little time is given to issues such as when to use a construct, how constructs are related, what functional role a construct plays in a program, or what the deep, plan structure of programs are. Similarly, little recognition is paid to issues such as how problems are related to each other, and how problems relate to constructs in a language. In what follows, we present a new structure for information about introductory LISP programming,

which does infact emphasize the neglected issues mentioned above. The key to this enterprise has been the development of:

- a taxonomy of <u>problems</u>, i.e., a classification scheme which groups problems into classes based on specific criteria, and
- 2. a set of <u>plans</u>, i.e., abstractions, each of which captures the essential features of a class of problems, and corresponding solution <u>programs</u>.

As we shall attempt to show, the knowledge underlying introductory LISP programming is quite structured. That is, while there are a lot of details that one must know in order to program, these details can be highly organized. Imphasis then is placed on the organization of the knowledge rather than the amount of the programs.

We begin by developing a scheme for classifying the problems usually offered as exercises in introductory LISP courses. We then examine the LISP programs which solve problems in the various classes and abstract higher level structures called 'plans.' Here we view a plan as a program template plus comments describing the goals and reasons for the various expressions in the template. Next, we build on the set of plans to include new problems. Finally, we speculate briefly on the utility of our taxonomy with respect to programming in languages such as FORTRAN, APL, or Pascal.

2. PREDICATE Type Problems and Programs

In order to teach a "simple" type of recursion (1), one often uses the following two problems:

Write a program which returns TR(B) if and only if all the elements in the input list one atoms.

The LISP code for this problem could be:

```
( IS-LIST-OF-ATOMS (LST)
(COND
( (NULL LST) T)
( (NDT (ATOM (CAR LST))) NIL )
( T (IS-LIST-OF-ATOMS (CDR LST)))))
```

Next,

Write a program which returns TRUM if and only if the first argument (which is an elum) is a member of the second argument (which is a list).

The code for this problem could be:

```
( MEMBER (LST ARG)
(COND
( (NULL LST) NIL )
( (EQ (CAR LST) ARG) T )
( T (MEMBER (CDR LST) ARG))))
```

If we step back from the particulars of the problems and the we notice first that both problems belong to the class programs, of PREDICATES, i.e., problems which require True or False for an Moreover, the first problem is an AND-PREDICATE type, answer. since it requires that ALL the elements of the input list have the property, while the second problem is an DR-PREDICATE desired type, since it requires only that one of the elements have Next, if care is taken in writing the programs, desired property. programs. be reflected in the this similarity of function can

Below we list a <u>template</u> which captures the commonalities and differences in the above two programs. (17)

```
( predicate (LST ARG1 ARG2 ... )
(COND
( (NULL LST) <T, NILD )
( (test (CAR LST) ARG1 ... )<NIL, T> )
( T (predicate (CDR LST) ARG1 ARG1 (CDR LST))))
```

Note that the lowercase names represent variables or <u>slots</u> that are filled in for particular problems, e.g., in MEMBER 'test' would be replaced by 'EQ'. The angle brackets and the comma, e.g., <T, NIL> in clause 1e, represent choices, one of which must be chosen.

A naked template is not enough; in order understand the relationships abstracted in the template, one needs to add explanatory comments to the clauses of the template. Thus, we define a plan to be a template plus explanatory comments. The intuition is that a plan represents actions to be performed and reasons and goals for those actions. For example, a key goal in LISP programming is the reduction of a problem into "smaller" problems: recursion is at the heart of this process. Comments reflecting this point could be:

TEMPLATE EXPLANATORY

CLAUSE COMMENT

- ip Is the list empty? Are we done processing?
- 2p Do it to the first; test the "head" of the list.
- Be Do it to the rest; continue processing.

The goals of the different types of PREDICATES must also reflected in this template and in the comments. For example, since IS-LIST-OF-ATOMS is an AND-PREDICATE, it must visit member of the list before it can respond True; hence only when the empty list is detected in 1p is 16 1.16T-OF-ATOMS's work really Note that an AND-PREDICATE can stop processing if it finds meet the specified 1 element which does not if an OR-PREDICATE, such as MEMBER, has visited Alternativelu, each element in the list and has not succeeded in finding the desired property, it will return false (NJL in LISP). Explanatory concepts in the plan which describe those goals could be:

TEMPLATE EXPLANATORY
CLAUSE COMMENT

1e Return a truth value after all elements have been visited:

AND-PREDICATE - if this point is reached then all the elements must have passed the test in 2p, thus return True:

OR-PREDICATE - if this point is reached then no element which satisfies the test in 2p has been found, thus return False.

2e Return a truth value when a particular element has been found:

AND-PREDICATE - Aha, found on element which does <u>not</u> meet the condition in 2p; thus AND could never be true, so stop processing and return balse.

OR-PREDICATE - Aha, found an element that satisfies the desired condition in 2p; quit processing early and return True.

Throughout the resului this populate should be empaned in the enterprise exemplified above, namely, looking for commonalities among problems, among programs, and obstracting plans based these observations. Before proceeding however, three comments are in order. First, in the classroom, we have no objections when students argue about our particular classification scheme, or encoding of the programs or templates. In fact, we encourage them so, since a discussion about alternative abstractions is precisely the activity we are trying to foster. Second, we do not view a template as a type of equation into which numbers or The misuse of equations functions can be plugged blindly. students in this manner in fields such as physics and engineering We stress that a has been documented previously (cf. 1430. template without explanatory comments explaining the why is not all that useful; in fact, blind substitution can result in major errors.

A third issue is the <u>level</u> of abstraction of the plans One could imagine plans which do not have as described here. strong a procedural component as ours do, i.e., one could describe MEMBER without a commitment to either recursion or iteration. development of more obstract plans is not inconsistent with namely, teaching students major teaching goals; constructing abstractions. The major danger we see, however, **i** 5 that without an explicit procedural component, plans will tend to look more like algebraic equations. Gince a discussion of morite of this issue are beyond the scope of this paper (cf. [8]), we only point out that recent empirical studies have indicated that programming can enhance problem solving ability, when compared with using algebraic equations as a solution With this caveat in mind, we encourage the search language [4]. for even more general abstractions. (We return to this point in section 9.)

2.1 <u>Attacking a Problem: Using Plant to Ask Questions</u>

Another way to look at the knowledge surrounding a plan is in terms of <u>questions</u> which need to be answered in order to develop a correct program solution. For example, if the student can determine that the problem is in the PRE-DICATE class, then he/she can ask:

- 1. Is the problem on OR-PRODUCATE or an AND-PREDICATE?
- Which of the earguments will be examined, i.e., what is the CDNing variable?
- 3. What property or test must be applied to the head (typical) element?

Answers to these questions determine which components of the PREDICATE template are selected for the desired final program and determine how the slots are to be filled. For example, an answer to question 3 will determine how the slot in clause 2p will be instantiated. (3) In effect, the questions serve as a systematic strategy for attacking problems.

3. BUILDER Tupe Problems and Programs

In order to develop a more complete and sophisticated understanding of recursion, problems and programs of the following sort might be presented.

Write a program which deletes an atom equal to ARG from the input list.

The code for this program, REMOVE-MEMBER, might be:

(REMOVE-MEMBER (LST ARG)
(COND

- ((NULL LST) ())
- ((EQ (CAR LST) ARG) (CDR LST))
- (T (CONS (CAR LST) (REMOVE-MEMBER (COR LST) ARG)))))

We call problems and programs of this sort BUILDERS; the defining characteristic of the BUILDER problem class is that a list is returned which contains the result of some action (remove, insert,

etc.) on the elements which satisfied the test criteria, as well as all the elements which did not. Another example of a BUILDER type problem would be:

Write a program which deletes all the atoms equal to ARG from the input list.

And the code for this problem might be:

```
( REMOVE-ALL-MEMBERS (LST ARG)
(COND
( (NULL LST) () )
( (EQ (CAR LST) ARG) (REMOVE-ALL-MEMBERS (CDR LST) ARG))
( T (CONS (CAR LST) (REMOVE-ALL-MEMBERS (CDR LST) ARG )))))
```

First, we see that A number of abstractions can now he made. have OR-like and AND-like functions just as PREDICATES REMOVE-MEMBER is an OR-BUILDER since it is satisfied when the while desired . Clement first occurrence n t the REMOVE-ALL-MEMBERS is an AND-BUILDER, since it must visit all Second, if we compare the code in the above elements of the list. two programs, we see that they are the some except for clause. Ze. we can abstract from the particular test in clause 2p to generate the following first pass at a template for BUILDERS.

For an OR-BUILDER, such as REMOVE-MEMBER, the first alternative in clause 2e is selected, since the list need not be traversed further. However, for an AND-BUILDER, such as REMOVE-ALL-MEMBERS,

the second alternative is required in order to continue down the list.

Now, clause 2c in the above BUILDER template is not quite general enough to handle other BUILDER problems. For example,

Write a program SUBSTITUTE which replaces the first occurrence of the atom (4) with the atom NEW in the list LST.

The LISP code for this problem is:

```
( SUBSTITUTE (LST OLD NEW)
```

- ((NULL LST) ())
- ((EQ (CAR LST) OLD) (CONS NEW (CDR (ST)))
- (T. (CONS (CAR LST) (SUBSTITUTE (COR LST) OLD NEW))))

The key issue is that now some action must be performed on the desired element. Taking into consideration the AND-BUILDERS a revised BUILDER template would be {4}:

Thus, in the REMOVE-MEMBER case, the 'action' slot would be filled by 'CDR', while in the SUBSTITUTE case it would be filled in by '(CONS NEW (CDR LST))'.

Comparisons between PREDICATES and MUILDERS can also be made.

For example, we see that in clause to the BUILDER template uses

the list building function CONStruct, whereas the PREDICATES not. Also, while NIL and () represent the same object in LISP. they can have different interpretations in different contexts. though NIL is returned in clause le in both templates, the NIL in each case <u>means</u> something different. The plan comments must explain that the NIL in the PREDICATE case stands for False, while the NIL in the BUILDER case stands for the empty list. which elements of the list will be (UMGed. Finally, exactly the same set of questions which were used in the PREDICATE case can be used in the BUILDER case. Again, comments must point out that the interpretation of OR and AND in either case is different, results in different code.

If we move one more step back, we can see that underlying BUILDER problems and programs is the notion of <u>conving</u>. In particular, consider the program COPY which returns a copy of the input list by actually tearing it apart and putting it back together:

```
( COPY (LST) (COND ( NULL LST) () )

(T (CONS (CAR LST) (COPY (CDR LST)))))
```

The blank line in the COND expression is there on purpose. It indicates that BUILDERS have a basic shell; all that changes is the particular test for the desired elements, and the action to be performed on such elements.

4. SELECTOR Tupe Problems and Programs

The third class of problems and programs which we shall consider here can be called SELECTURE; e.g., assuming we have a built-in predicate, LGT, which is Lexicographically Greater Than, the following problem would be a SELFCIOR:

Write a program which returns the first atom in the input list which is Lexicographically Greater Than the given atom.

The code for this function might be:

```
( SELECT-LGT (LST ARG)
  (COND
  (NULL LST) ())
  ((LGT (CAR LST) ARG) (CAR LST))
  (T (SELECT-LGT (CDR LST) ARG))))
```

By this time, one can predict that in this class also there will be DR-SELECTORS and AND-SELECTORS. The above problem is an OR-SELECTOR; replacing "first" with "all" will make it an AND-SELECTOR.

The template for this type of problem is: {5}

As evidenced by a comparison of the BUILDER plan and the SELECTOR plan, the key difference between these two types of problems is that SELECTORS do NOT return elements which do not meet the test requirements, while BUILDERS do, i.e., compare clauses 3e and 2e

in each template. Clearly, comparisons to the PREDICATE plan can also be made.

5. A Taxonomy of Problems

The taxonomy described in the proceeding sections can neatly depicted in a tree structure (see Figure 1); there are three classes of problems, each class having a similar structure. We do not claim that the scheme depicted above is unique, canonical, or complete. As we note in the concluding remarks, feel that other problems and other languages might suggest or require other classification characteristics, i.e., which a problem taxonomy can be based. dimensions along Nonetheless, the key point is that looking for abstractions is a and the tree in liqure 1 is presented to the powerful idea, students as one concrete example of this enterprise to follow. Moreover, finding exceptions (see next section) and developing new structures to accommodate the inconsistencies is a learning technique.

The basis for the above taxonomy can be traced to the work of McCarthy [7], in developing LISP, to briedman [5], who has written a self-teaching text for LISP, and to Handy [6], who uses the template idea in a program synthesis system. Allen [1], Burge [2], and Winston [10], in their analyses of recursive programming in general, and LISP in particular, develop program structures larger than unit expressions which they too proceed to evolve.

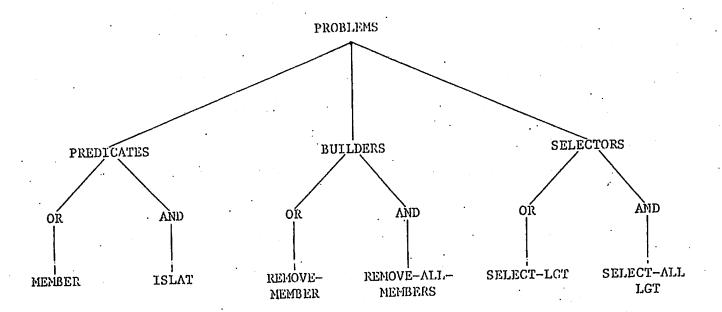


Figure 1.

A taxonomy of problems.

6. Generalizing the Plans to Handle on Exception

The problem.

Write a program which takes the union of two lists.

is an AND-BUILDER; it is actually just a "generalized" version of REMOVE-ALL-MEMBERS, with MEMBER replacing EQ. However, the code

(UNION (SET1 SET2)

- ((NULL SET1) SET2)
- ((MEMBER (CAR SET1) SET2) (UNION (C)R SET1) SET2))
- (T (CDNS (CAR SET1) (UNION (CDR SET1) SET2)))))

does not completely follow the template for AND-BUILDERS. That is, in clause 1e UNION returns the second list, while the template suggests that NIL, the empty list, be returned.

Clearly, what needs to be done is to generalize the BUILDER plan (and most probably, the other plans too). However, what is is not the apparent inconsistency most interesting about this plan but rather, the specific change to the recognizing a conflict between an example and อท abstraction. Becoming aware that abstractions admit of exceptions is precisely the kind of problem solving skill that one wants to encourage.

7. Generalizing the Plans to Handle Mested Lists

In the preceding discussion we have assumed that the input lists would be simple (flat) lists of atoms, e.g., (A B C). However, if we want to input nested lists, e.g., (A B (C D) E B), some additional machinery must be developed. Simply put, a new line of code needs to be added to "cotch" the possible list element before EQ (6) is encountered, and the program must call itself again on this portion of the list, as well as on the rest of the list. (7) Thus, if we wanted to write REMOVE-ALL-MEMBERS to handle this type of list, the code for this new program, REMOVE-ALL-MEMBERS-* (8), might be:

```
( REMOVE-ALL-MEMBERS-* (LST ARG)

( (NULL LST) NIL )

( (NULL LST) NIL )

( (LISTP (CAR LST))

(CONS (REMOVE-ALL-MEMBERS-* (CAR LST) ARG)

(REMOVE-ALL-MEMBERS-* (CDR LST) ARG))

( (EQ (CAR LST) ARG) (REMOVE-ALL-MEMBERS-* (CDR LST) ARG))))

( T (CONS (CAR LST) (REMOVE-ALL-MEMBERS-* (CDR LST) ARG)))))
```

students notice Two observations car now be made. First, like the old exactly works AND-BUILDER above · that the RFMOVE-ALL-MEMBERS, i.e., REMOVE-ALL MEMBERS-* will delete occurrences of the desired atom from gach of the sublists. Based on this observation, students quickly examine the OR-BUILDER to see if it too can be extended {9}.

Second, in trying to see how to make AND-PREDICATES and OR-PREDICATES work on nested lists, students encounter a snag:

BUILDERS have a CONS in clause 2e in order to bind together the results, but PREDICATES can't use a CONS. To deal with this problem they <u>invent</u> the analogue to the list builder CONS, namely, the logical builders AND and OR. (10) That is, just like CONS, AND and OR "glue together" the result of operating on the head of the list, with the result of operating on the tail of the list. Thus, the code for the OR-PREDICATE, PRIBORR-*, would be

```
( MEMBER-* (LST ARG)
(COND
( (NULL LST) NIL )
( (LISTP (CAR LST)) (OR (MEMBER-*((:AK LST) ARG))
( (EQ (CAR LST) ARG) T )
( T (MEMBER-* (CDR LST) ARG)) )
```

Th both of the above cases, key programme samples are used to make analogies and adjustments to the plans. Since the plans serve as a basis for generating new programs, making this little change in the plans will actually have for-reaching effects!

8. <u>Two Issues: Analogies Between Lists and Numbers, and Tupes of Recursion</u>

We have developed a great deal of machinery in order to cope with problems about lists. It would be quite useful if, in looking at a new data type, e.g., numbers, some of that machinery might carry over to the new domain. While we have not as yet worked out this aspect in detail, some prelimenary observations might be thought-provoking.

Consider the following program, PLUG, which adds two numbers together:

```
( PLUS (N1 N2)
(COND
( (ZEROP N1) N2 )
( T (ADDi (PLUS (SUB1 N1) N2))) )

Now recall the list COPY program (reprinted below).
( COPY (LST)
(COND
( (NULL LST) () )
(T (CONS (CAR LST) (COPY (COR LST)))))
```

An analogy can be made between the two programs by noting that if PLUS were given two numbers, n and zero, to add, PLUS would effectively return a copy of the original argument, n. Thus, one can point out that in the number domain, ADD1 (and PLUS) can serve as a "NUMBER-BUILDER" just as CONS served as a LIST-BUILDER and AND/OR served as LOGICAL-BUILDERS. (11)

Now, consider the following code for PLUS,

```
( PLUS (N1 N2)
(COND
( (ZEROP N1) N2 )
( T (PLUS (SUB1 N1) (ADD1 N2))) ) )
```

After some thought, students come to realize that this PLUS is adding "on the way down" while the previous PLUS was adding "on the way back up." Two observations quickly follow. First, students realize that this latter MUS "looks like" a PREDICATE program, since the answer is actually available "at the bottom" in both cases, i.e., there is nothing to do but pass the answer back

on the way down too, i.e., can't we make COPY build on the way down too, i.e., can't we move CONS inside?" The students go on to modify COPY to include a second argument which is used to hold the list being built "on the way down," e.g.,

```
(COPY (LST1 LST2)
(COND
( (NULL LST1) LST2 )
( T (COPY (CDR LST1) (CONS (CAR LST1) LST2))))
```

The students also quickly see how to modify the BUILDER and SELECTOR plans to incorporate this type of list construction. This exercise makes explicit the different types of recursion actually being used.

9. Concluding Remarks

finding abstractions the enterprise ρf ingrained, generalizations start to pop up all over. For example, MAPping the utility of MAPping functions is readily recognized; functions are, in effect, built-in templates. After the BUILDER MAPCAR' is introduced, then PREDICAN: Maliping functions MAPOR and MAPAND follow quite naturally. Or, when the problem of REVERSing a list is solved, the students come to see that working from the left is not sacred. Thus operators such as RAC, RDC, and SNDC, which are counterparts to CAR, (1)18, and CDNS, but which work from the right, come into being {170. Moreover, one can see how these new functions can systematically replace CAR, CDR, and CONS in the templates and plans.

As more and more examples of problems and programs level of the plans grows farther and farther away the examined, from actual LISP code. Consider this problem:

Write a program wich returns True if and only every other element in the input list is an atom.

In order to accommodate this AND-PREDICATE, we need to generalize clause. Be to permit "bigger chunks" of the list to be consumed in the recursion step, i.e.,

(predicate (CDR (CDR LST)))

Problems which require that clauses 2p and 2e also be generalized can be readily generated. The result is that the plans --- the templates and the comments --- become more abstract.

```
(argument list)
( predicate
  ( COND
```

- ((NULL list) < T, NIL >)
- ((test element of CDRing variable) < NIL, T >)
- (T (predicate (reduce CDRing variable)))))

students can More thought is required to use such plansi count on making simple substitutions in order to produce correct Nonetheless, these abstractions provide a solutions. which to think about a particular problem or program.

At this point, a valid question to ask is: what particular generalizations discussed in this paper have to do with programming in BASIC, FORTRAN, APL, or PASCAL? Clearly, one could function subprograms to mimic the functional decomposition used in LISP, but the particular taxonomy of PREDICATES, BUILDERS, and SELECTORS may not be valid. The major types of problems addressed by LISP are those which deal with non-numeric entities structured into lists. FORTRAM, AM, etc. have arrays and numbers as key data types, e.g., a BUILDER might not have a clean analogue in the context of arrays. Nonetheless, the search for problem-program abstractions may prove worthwhile. For example, in teaching introductory DASIC, we emphasized a class of problems based on the theorem in mathematics which states that functions can be approximated by a series expansion. We dubbed the program structure which captures this set of problems the "running-total template", e.g.,

TOTAL = staring-value, {0 1 ... }

FOR I = 1 TO n

TOTAL = TOTAL (+,*) new term

NEXT I

This paper has emphasized the knowledge which goes beyond the syntax and semantics of constructs in LISP. Currently, we are using this same approach to analyze the knowledge students' need in order to understand Pascal programming. Before crisp generalizations can be made, more of this specific type of research must be carried out. Our classroom experience with LISP, while admittedly impressionistic, gives us confidence that this enterprise has a great deal of promise.

Notes

- (1) By "simple" we mean that the answer to the problem is available "at the bottom" of the recursion; students often skip over the fact that the answer must still be "passed back up." We feel that the students' misunderstanding is a fair price to pay for easing them into recursion: when the next type of problems is introduced, the notion of recursion becomes more refined. Section 8, we explicitly contrast these two "types" of recursion.
- use to refer to clauses the in The notation we will CONDitional expression is:

(COND) (1p, 1e)

(2p, 2e)

(3p, 3e))

where 'p' stands for predicate, and 'c' stands for expression.

- (3) In order to test the completeness of these questions, we written a computer program which first queries a user with the above questions (plus two other bookkeeping questions) generates a program based on the questions. Success with this program suggests what we have isolated the kew components of certain types of problems.
- (4) Counterexamples can readily be found to this template, e.g., UNION. We will address this issue shortly.
- (5) Consistent with clause 2e in the MOLDER template, note that clause 2e in the SELECTOR template will probably need to be generalized as follows:

<(action (CAR LST)), (CONS (action (CAR LST)) (selector ((Gr LST)...)) >

- (6) We assume here that EQ is undefined if its arguments are not atoms.
- {7} This observation was pointed out by John Lowrance in a he taught on LISP.
- (8) The naming convention of a for procedures which require double recursion is taken from Friedman [5].
- (9) In "some sense" the OR-BUILDER-* REMOVE-MEMBER-* removes first occurrence of the desired atom, i.e., it removes the first occurrence of the desired atom from puch pested list, except when that nested list is preceeded by the desired atom. This rule applies to <u>all</u> sublists at the same depth of nesting.
- (10) Do not confuse the LISP functions AND and OR the with

abstract notion of AND and OR with respect to PREDICATES, BUILDERS, etc.

(11) Also, note that ZEROP and NULL scree analogous functions.

(12) The names RAC, RDC, and SNDC are also taken from Friedman [5].

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